

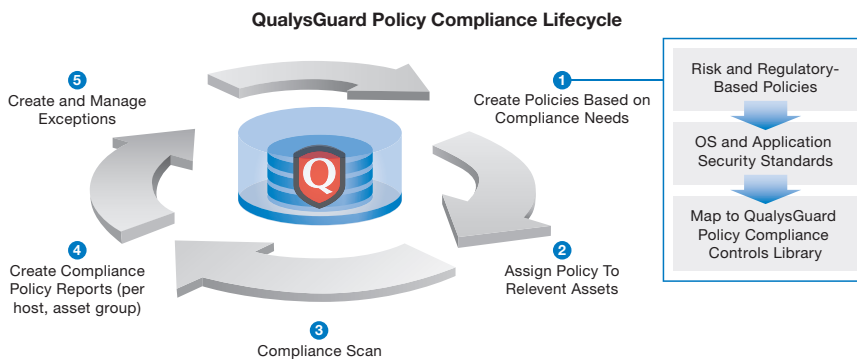
## OPERATIONALIZE IT SECURITY AND POLICY COMPLIANCE — ON DEMAND

IT security organizations are under constant pressure to help the business comply with multiple regulations, and meet the demands of internal and external auditors. In addition, many regulations contain requirements pertaining specifically to the integrity and security of the IT environment. As a result, an auditor wants to see: policies that describe how an organization will provide security and integrity; proof that the policies have been operationalized; and documented evidence that the organization has discovered and fixed any policy compliance lapses.

While auditors are tasked with measuring and enforcing policy adherence, IT security departments need to reduce risk and enable business continuity. An effective vulnerability and compliance management program can make an organization more effective and efficient in reducing the risk of internal and external threats, while at the same time providing proof of compliance demanded by auditors across multiple compliance initiatives.

### Introducing QualysGuard® Policy Compliance

QualysGuard Policy Compliance extends the global scanning capabilities of QualysGuard Vulnerability Management to collect OS Configuration and Application Access controls from hosts and other assets within the enterprise, and maps this information to user-defined policies in order to accurately document compliance with security regulations and business mandates.



### QualysGuard Policy Compliance Benefits

- Combined agent-less solution for vulnerability and configuration scanning
- Rapid global deployment with the QualysGuard Software-as-a-Service (SaaS) delivery model requiring no software to install or maintain
- Centralized approach to policy definition and management
- Customizable auditing capabilities for multiple regulatory initiatives and mandates
- Comprehensive instructions and audit trails to review and prove compliance with auditors

**“An effective vulnerability management program must include the definition of system configuration and user administration policies, translation into technical parameters that implement those policies, compliance reporting and remediation.”**

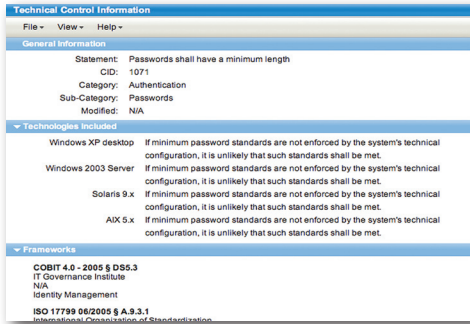
Mark Nicolett, Chief of Research, Security & Privacy  
**Gartner**

**“Regulations such as the Sarbanes-Oxley Act and Basel II have pushed compliance to the forefront of the executive’s agenda. In this environment, security managers must tie their vulnerability management and security auditing practices to broader corporate risk and compliance initiatives.”**

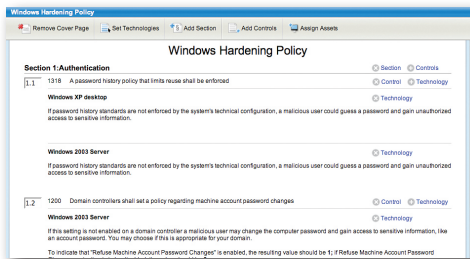
Andreas Wuchner-Bruehl, Head of Global IT Security  
**Novartis AG**

## QualysGuard Policy Compliance Features:

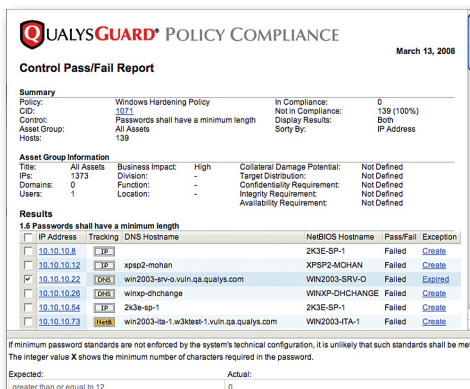
- Automated compliance scanning using the same QualysGuard infrastructure used for vulnerability scanning
- Available technical controls library is based on CIS and NIST standards and maps to frameworks & regulations such as COBIT, ISO, SOX, Basel II, etc.
- Policy Editor to construct policies from controls and map them to internal standards and external regulations
- New reports to show compliance by policy, by control and by host
- Exception management workflow to create and approve exceptions
- Collaboration capabilities to review policies and approve exceptions with internal and external auditors



Controls Classification



Policy Editor



Compliance Report

## Technical Controls Library

QualysGuard Policy Compliance technical controls library is based on CIS and NIST. Version 1.0 supports the following categories, technologies, frameworks and compliance initiatives:

- **Categories:** Security Management, Authentication, Access Control, Services Network Security, Antivirus/Malware, Integrity/Availability, Application Control and Encryption
- **Technologies:** Windows XP Desktop, Windows 2003 Server, RedHat Enterprise Linux 3, 4 and 5, Solaris 9, AIX 5, Oracle 9i, 10g and 11g
- **Frameworks:** CIS, COBIT 4.0, ISO 17799, NIST SP800-53
- **Compliance Regulations:** SOX 404, GLBA, HIPAA, Basel II

## Policy Editor

QualysGuard Policy Editor is a WYSIWYG user interface to create and edit policies and assign them to assets. A policy can be divided into sections and can include a cover page to document specific details about the usage and purpose of this policy within the organization.

In addition, users can define the “pass/fail” status of a control per policy by changing the “expected” value of the control in that policy.

## Audit Results and Reports

New reports and workflows are available to review Policy Compliance results and track compliance per control, per host and per policy.

Compliance reports are available as follows:

1. Authentication Success/Fail report
2. Full Policy report that includes all results, exceptions and audit trails
3. Interactive per Control Pass/Fail report
4. Interactive per Host Compliance report
5. Interactive reports include workflows for creating exceptions

## Pricing and Availability

QualysGuard Policy Compliance is available as part of the QualysGuard Security and Compliance Suite. QualysGuard Policy Compliance annual subscriptions are sold on a per IP basis, include an unlimited number of compliance audits, and 24x7 support and updates.



USA – Qualys, Inc. • 1600 Bridge Parkway, Redwood Shores, CA 94065 • T: 1 (650) 801 6100 • sales@qualys.com  
 UK – Qualys, Ltd. • 224 Berwick Avenue, Slough, Berkshire, SL1 4QT • T: +44 (0) 1753 872101  
 Germany – Qualys GmbH • München Airport, Terminalstrasse Mitte 18, 85356 München • T: +49 (0) 89 97007 146  
 France – Qualys Technologies • Maison de la Défense, 7 Place de la Défense, 92400 Courbevoie • T: +33 (0) 1 41 97 35 70  
 Japan – Qualys Japan K.K. • Pacific Century Place 8F, 1-11-1 Marunouchi, Chiyoda-ku, 100-6208 Tokyo • T: +81 3 6860 8296  
 Hong Kong – Qualys Hong Kong Ltd. • 2/F, Shui On Centre, 6-8 Harbour Road, Wanchai, Hong Kong • T: +852 2824 8488

